

01/09/02  
J1046 U.S. PTO

Practitioner's Docket No. 782\_211

NEW APPLICATION TRANSMITTAL

Box Patent Application  
Assistant Commissioner for Patents  
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231 on January 9, 2002 under "EXPRESS MAIL" mailing label number EL 872579572 US.

Elizabeth A. VanAntwerp

Transmitted herewith for filing is the patent application of:

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Title: EPITAXIAL BASE SUBSTRATE AND EPITAXIAL SUBSTRATE

1. Papers enclosed

A. Required for filing date under 37 CFR 1.53(b) (Regular) are:

- 7 Page(s) of Specification
- 2 Page(s) of Claims
- 1 Page(s) of Abstract
- 1 Sheet of Formal Drawings (Figs. 1-3)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

J1046 U.S. PTO  
01/09/02

J1046 U.S. PTO  
01/09/02

## 2. Additional Papers to Follow Under Separate Cover

[x] A combined Declaration and Power of Attorney

[x] A Claim for Priority and certified copies of:

<u>Country</u>	<u>Application Number</u>	<u>Filing Date</u>
Japan	2001-005,944	January 15, 2001
Japan	2001-387,772	December 20, 2001

[x] An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.  
 2-56, Suda-Cho, Mizuho-Ku  
 Nagoya, Aichi-Prefecture 467-8530  
 Japan

## 3. The correspondence address for this application is: Customer No.: 025191

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## 4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

[ ] Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

[ ] Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

## 5. [x] Amend the specification by inserting, after the title and before the first line, the paragraph:

--This application claims priority from Japanese Application 2001-005,944, filed January 15, 2001, and Japanese Application 2001-387,772, filed December 20, 2001, the entireties of which are incorporated herein by reference.--

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## 6. The filing fee has been calculated as shown below:

<b>Basic Filing fee</b> (37 CFR §1.16(a))--\$740.00				\$ 740.00
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total Claims	15 - 20 =	0	× \$ 18.00	
Independent Claims	2 - 3 =	0	× \$ 84.00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00	
<b>Surcharge fees</b> (37 C.F.R. 1.16(e))--\$130.00 <input checked="" type="checkbox"/> late payment of filing fee <i>and/or</i> <input checked="" type="checkbox"/> late filing of original declaration or oath				\$ 130.00
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 870.00
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				
<b>SUBTOTAL =</b>				\$ 870.00
<input type="checkbox"/> Fee for processing an application filed with a specification in a non-English language (37 C.F.R. 1.17(i) and 1.52(d))--\$130.00				
<input checked="" type="checkbox"/> Assignment Fee (37 CFR §1.21(h))--\$40.00				\$ 40.00
<b>TOTAL FEES DUE =</b>				\$ 910.00

## 7. Payment of Fees and Authorization to Charge Additional Fees or Credit Overpayment

- ☐ A check in the amount of \_\_\_\_\_ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr  
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January 9, 2002  
Date

SPB/eav

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